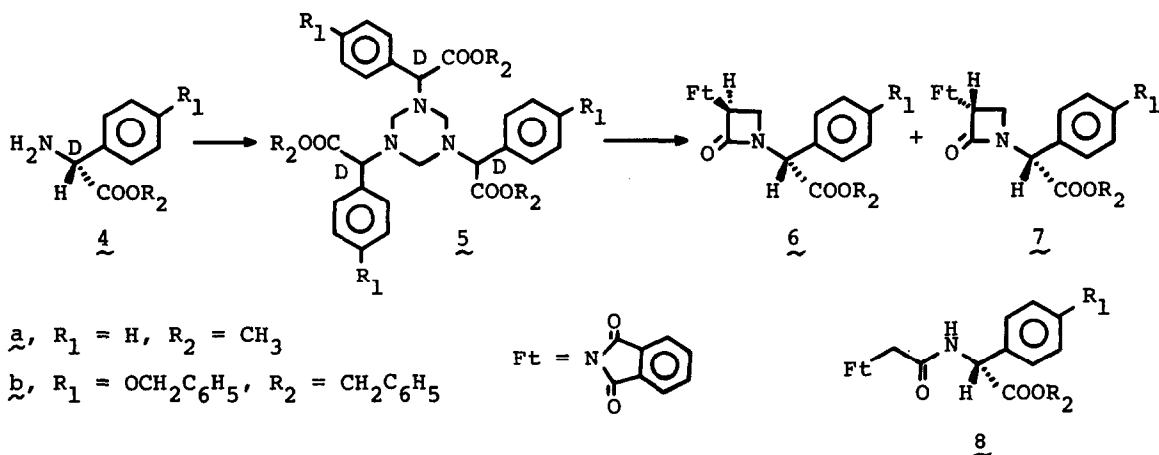


dine complex (0°C, 2h). We obtained a 7 : 2 mixture of azetidinones 6a and 7a in 80 % yield (see Table I). Fortunately, the major product 6a was found to be the compound possessing the same configuration at C-3 as that of the natural nocardins on the basis of the ^1H NMR analysis⁷.

Although the mechanism of β -lactam formation in this reaction is not clear, one reasonable speculation can be made as follows. In the presence of BF_3 , the monomer 3 ($\text{R}_1=\text{H}$, $\text{R}_2=\text{CH}_3$) might exist at least to some extent as a BF_3 complex in equilibrium with the trimer 5a⁸, and from the former, the azetidinones could arise via the traditional acid chloride-imine process. The stereochemistry of the products might be governed by the substituents of both reactants. The necessity of BF_3 in this reaction was shown by the fact that the trimer 5a was direc-



tly reacted with phthalimidoacetyl chloride-pyridine in the absence of $\text{BF}_3 \cdot \text{OEt}_2$ to give no trace of azetidinones but only the acyl derivative, 8a of methyl phenylglycinate, thus corroborating the above mechanistic consideration.

With these results in hand, we turned to the synthesis of 3-ANA (2). Thus, we examined the reaction of hexahydro-s-triazine 5b⁵, prepared from benzyl D-p-benzyloxyphenylglycinate (4b)⁹, with phthalimidoacetyl chloride. After an exhaustive examination, the best yield was obtained under the following conditions. Compound 5b was treated with $\text{BF}_3 \cdot \text{OEt}_2$ in CH_2Cl_2 (room temperature, 10 min) and added to a mixture of phthalimidoacetyl chloride and pyridine in CH_2Cl_2 at -78°C. After stirring for 2.5 h, the temperature was gradually raised to 0°C, the stirring being continued for an additional 1 h at the same temperature. The usual

workup gave, after a brief silica-gel column chromatography (CHCl_3), a 3 : 1 mixture of methyl phthaloylnocardinate (6b) and its epimer (7b) in 87 % yield^{10,11}. This mixture was separated by recrystallization to 40 % yield of 6b ($[\alpha]_D -178^\circ$ ($c=0.8$, CHCl_3)) (see Table I) and 12 % yield of 7b (mp $105-8^\circ$, $[\alpha]_D -30^\circ$ ($c=0.8$, CHCl_3)).

Removal of the protective groups of 6b by debenzoylation (10 % Pd-C, EtOH-AcOH) and subsequent dephthaloylation ($\text{H}_2\text{N}(\text{CH}_2)_3\text{N}(\text{CH}_3)_2$, MeOH) gave 3-ANA (2) (mp $198-200^\circ$ (dec); $[\alpha]_D -242^\circ$ ($c=1.1$, 0.1N NaHCO_3)) in 49 % overall yield. This synthetic sample was identified with the sample derived from the natural nocardins on comparison of TLC, spectral and optical data.

This new method for the synthesis of monocyclic β -lactams is generally applicable. Apparently, it becomes feasible to replace the *p*-hydroxyphenyl group of

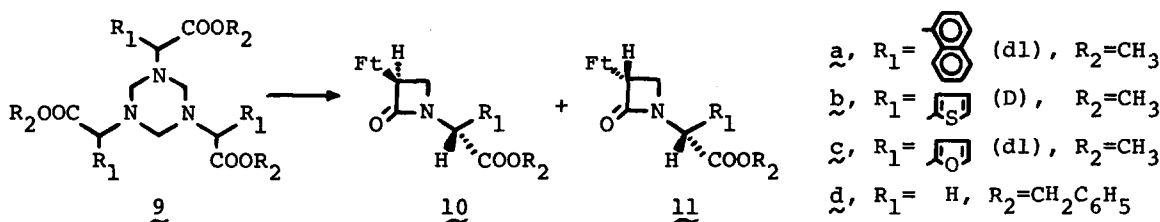


Table I

Compound	Reaction Temp ($^\circ\text{C}$)	Condition Time (h)	Total Yield of		Ratio of		Isolation Yield of <u>6(10)</u> (%) *2
			<u>6(10)</u> and <u>7(11)</u> (%)	<u>6(10)</u> and <u>7(11)</u>			
<u>6a</u> and <u>7a</u>	0	2	80	7 : 2	35 ^{*3}		
<u>6b</u> and <u>7b</u>	-78-0	3.5	87	3 : 1	40		
<u>10a</u> and <u>11a</u> ^{*1}	"	2.5	51	10 : 1	43 ^{*4}		
<u>10b</u> and <u>11b</u> ^{*1}	"	"	65	7 : 2	32 ^{*3}		
<u>10c</u> and <u>11c</u> ^{*1}	"	"	39	3 : 1	17 ^{*4}		
<u>10d</u> and <u>11d</u> ^{*1}	"	"	—	—	35		

*1 The corresponding hexahydro-*s*-triazines 9a-d were prepared, respectively, as in the case of 5a and 5b : 9a, mp $148-51^\circ$; 9b, mp $107-9^\circ$; 9c, powder, 100 %; 9d, oil, 100 %. *2 Melting points of 6(10) : 6a, mp $133-4^\circ$; 6b, $127-8^\circ$; 10a, $192-4^\circ$; 10b, $167-70^\circ$; 10c, $176-8^\circ$; 10d, $120-2^\circ$. *3 Minor isomers, 7a and 11b, were isolated in low yields by HPLC using μ -Porasil : 7a, mp $96-8^\circ$; 11b, mp $134-7^\circ$.

*4 Minor isomers, 11a and 11c, were not isolated.

nocardicins with other nuclei. Some analogues 10 have thus been prepared without optimization for each compound, as summarized in Table I. Acyl derivatives of these β -lactams and their biological properties will be reported elsewhere.

REFERENCES AND NOTES

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- (a) M. Hashimoto, T. Komori, and T. Kamiya, *J.Am.Chem.Soc.*, 98, 3023 (1976); *J.Antibiot.*, 29, 890 (1976); (b) H. Aoki, H. Sakai, M. Kohsaka, T. Konomi, J. Hosoda, T. Kubochi, E. Iguchi, and H. Imanaka, *J.Antibiot.*, 29, 492 (1976); (c) M. Kurita, K. Jomon, T. Komori, N. Miyairi, H. Aoki, S. Kuge, T. Kamiya, and H. Imanaka, *J.Antibiot.*, 29, 1243 (1976); (d) J. Hosoda, T. Konomi, N. Tani, H. Aoki, and H. Imanaka, *Agric.Biol.Chem.*, 41 2013 (1977).
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- E.M. Smolin and L. Rapoport, "The Chemistry of Heterocyclic Compound", Vol. 13, A. Weissberger, Ed., Interscience Publishers Inc., New York, N.Y., 1959.
- Prepared by treatment of 4a or 4b with 40 % formaline in AcOEt/H₂O at 0°C: 5a, mp 148-155°, 86 %; 5b, mp 108-110°, 86 %.
- D.D. Reynolds and B.C. Sossar, *J.Heterocycl.Chem.*, 8, 597 (1971).
- Major product 6a: ¹H NMR (CDCl₃) δ 3.46 (dd, 1H, J=3, 5Hz, 4 β -H), 3.94 (t, 1H, J=5Hz, 4 α -H), 5.48 (dd, 1H, J=3, 5Hz, 3 α -H). Minor product 7a: ¹H NMR (CDCl₃) δ 3.60 (t, 1H, J=5Hz, 4 β -H), 4.10 (dd, 1H, J=3, 5Hz, 4 α -H), 5.34 (dd, 1H, J=3, 5Hz, 3 β -H). In 6a, the 4 β proton is trans coupled (J=3Hz) to the 3 α proton in agreement with the data of nocardicins^{2a}.
- For other examples of reaction which seemed to proceed through formalimine intermediates, see e.g. (a) ref 6; (b) R. Menten and G. Muller, *Angew.Chem.*, 74, 866 (1962).
- Prepared from D-p-hydroxyphenylglycine by the standard methods (1. C₆H₅CH₂Cl-CuSO₄-NaOH, 2. C₆H₅CH₂OH-HCl).
- Major isomer 6b: ¹H NMR (CDCl₃) δ 3.40 (dd, 1H, J=2.5, 4.5Hz, 4 β -H), 3.89 (t, 1H, J=4.5Hz, 4 α -H), 5.47 (dd, 1H, J=2.5, 4.5Hz, 3 α -H). Minor isomer 7b: ¹H-NMR (CDCl₃) δ 3.55 (t, 1H, J=4.5Hz, 4 β -H), 4.05 (dd, 1H, J=2.5, 4.5Hz, 4 α -H), 5.31 (dd, 1H, J=2.5, 4.5Hz, 3 β -H).
- It is interesting to note that this reaction, when carried out at -55°C, gave a higher stereoselectivity (10 : 1 ratio of 6b and 7b, 30 %), although the reaction was not completed even after 96 h.

(Received in Japan 27 September 1978)